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3-22-04

In the United States Patent and Trademark Office

Serial Number: 10/074,174
Application. Filed: 02/10/02
Applicant(s): Haw-minn Lu
Application. Title: Width Upgrade for a Scalable Switching Network
Examiner: Robert W. Beausoliel, Jr.
Group Art Unit: 2113

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Mailed: 2004 February 17
At: San Diego, CA

Information Disclosure Statement

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Sir:

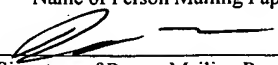
In compliance with the Applicant's duty under 37 CFR 1.97-98, the following information is brought to the attention of the Examiner. The items are listed on the attached Form PTO/SB/08A and copies are enclosed for the convenience of the Examiner. The Applicant respectfully requests that the documents be made of record in the above-referenced application.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

February 17, 2004
Date of Deposit

Haw-minn Lu
Name of Person Mailing Paper


Signature of Person Mailing Paper

U.S. Patent No. 6,049,542 was brought to the attention of the Applicant in an office action mailed on November 17, 2003 by examiner Derrick W. Ferris directed towards U.S. Patent Application No. 09/897,263, filed on July 2, 2001 by the Applicant.

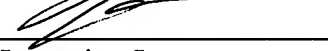
The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56. The submission of these documents thereof by the Applicant shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by the Applicant as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

The attached IDS is being filed in accordance with 37 CFR §§ 1.97 and 1.98. This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b)(3), that is before the mailing of a first Office Action on the merits. Thus, no petition or fee is required.

Respectfully submitted,

Dated: February 17, 2004

By: 
Haw-minn Lu
Applicant Pro Se

Dr. Haw-Minn Lu
10733 Calston Way
San Diego, CA 92126

Telephone: (858) 689-2011
E-Mail: hlu@alum.mit.edu



PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/074,174
Filing Date	02/10/02
First Named Inventor	Haw-minn Lu
Art Unit	2113
Examiner Name	Robert W. Beausoliel, Jr.
Attorney Docket Number	

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,049,542	04-11-2000	Prasad	RECEIVED MAR 10 2004
		US- 5,841,775	11-24-1998	Huang	
		US- 2003/0002437 A1	01-02-2003	Lu	
		US-			RECEIVED MAR - 1 2004 TECHNOLOGY CENTER 2800
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FOREIGN PATENT DOCUMENTS

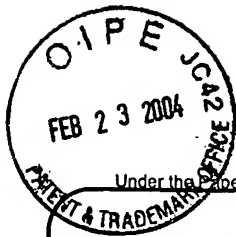
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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2113

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/074,174

Filing Date 02/10/02

First Named Inventor Haw-minn Lu

Art Unit 2113

Examiner Name Robert W. Beausoliel, Jr.

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Total Number of Pages in This Submission

4

Attorney Docket Number

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
USPTO Form SB/08A (1pg); Cited References (3 reference total); Return Postcard

Remarks

Information Disclosure Statement is submitted herewith as 2 pages.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Haw-minn Lu

Signature

Date February 17, 2004

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Typed or printed name Haw-minn Lu

Signature

Date February 17, 2004

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